

Responsible Use of Health Data™ Certification



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Introductions



Kathryn Petrovic, MSN, RN
Vice President, Accreditation &
Certification Product
Development



Doreen Donohue, DNP, RN,
NEA-BC, CPHQ
Field Director, Certification
Programs



Chad Larson, MBA
Executive Director,
Hospital Accreditation &
Certification Programs



Today's Agenda

- ▲ Using data responsibly
- ▲ Program importance
- ▲ Eligibility for certification
- ▲ Value of certification
- ▲ Standards areas
- ▲ Certification review process



Using Data Responsibly



Many healthcare organizations use personal health data for secondary use, which are purposes beyond direct clinical care.



Some of the uses include understanding disease trends, determining potential treatments, and potentially improving outcomes for all patients.



Leveraging data to learn and advance patient care is not just a quality improvement imperative but also a matter of social responsibility.

Why Responsible Use is so Important



The imperative for use of secondary data is that privacy and patient rights are protected.



Some safeguards already exist but more actions are needed to provide a blueprint for more robust and consistent practices in handling de-identified data.





Now Available to Support Efforts of Hospitals & Health Systems

Responsible Use of Health Data, new in 2024, is a voluntary 2-year certification for U.S. hospitals and health systems.

Certification is based on principles from the Health Evolution Forum's *"The Trust Framework for Accelerating Responsible Use of De-identified Data in Algorithm and Product Development."*

Provides an objective review as to whether an organization is:

- utilizing best practices in their secondary use of data
- promoting responsible use of health data by demonstrating that protocols are in place regarding transparency, limitations of use, and patient engagement



Eligibility

Organizations in the U.S. can pursue the certification based on their needs:

- Individual site certification for hospitals
- Health system certification is available for an entire healthcare delivery system

The Value of Responsible Use of Health Data Certification

Provides a blueprint for consistent data handling processes

Helps organizations navigate appropriate sensitivities needed to safely share data

Uses health care data for the greater good

Helps mitigate risk and provides confidence in patient privacy

Demonstrates an organization's wishes to protect patients' rights

Patients benefit from knowing their information is managed responsibly

Standards Overview

Standards in Six Key Areas



The certification provides a virtual review process which looks at six key areas:

- De-Identification of Data
- Data Controls
- Limitations On Use
- Algorithm Validation
- Patient Transparency
- Oversight Structure

Verification of the following:

- Written policies and procedures to deidentify data in accordance with HIPAA
- Established internal controls to protect against unauthorized reidentification of data
- Verification process that data recipient(s) has controls to protect against reidentification of data
- Prohibition of the misuse of data through contractual protections
- Processes to validate and test algorithms along with education on their appropriate use
- Written policies and procedures regarding communication with key stakeholders about secondary use of deidentified data
- Established governance structure for the use of deidentified data

Review Process

Responsible Use of Health Data Certification Review Process



Conducted virtually by Joint Commission Operations Field Director via Microsoft Teams

First review: Organization receives 30-day advance notification of review date

Recertification: 7 business days advance notification of review date

Individual organizations undergo a one-day review.

Review of health systems conducted virtually over multiple days.

Review Agenda

 Opening: 8:00-8:15am

Orientation to Responsible Use Initiatives-8:15-8:45am

 Planning Session: 8:45-9:15am

Policy & Process Review-9:15-12:30pm

 System Tracer-Performance Improvement

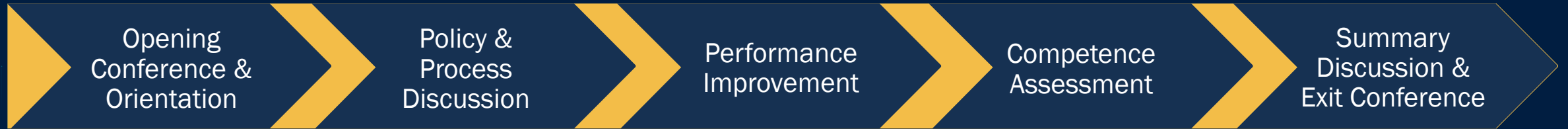
1:00-1:30pm

Competence Assessment-1:30-2:00pm

 Summary Discussion: 2:00-2:30pm

Exit Conference: 2:30-3:00pm

Review Activities



Introductions

Program leadership oversight structure

Organization's goals to protect data

Process to evaluate responsible use of health data initiatives

Review of deidentification process, data controls, limitations on use, algorithm validation, patient transparency, and oversight structure

Security monitoring

Re-evaluation of data sets

Action plans

Future initiatives

Focuses on staff/contracted staff responsible for data security

Determination of qualifications

Personnel file review

On-going assessment of competence

Presentation of observations and opportunities for improvement

Share feedback on leading practices

Questions

To Learn More About Responsible Use of Health Data Certification



Email: certification@jointcommission.org

Phone: (630)792-5291

Additional Resources



To learn more about certification visit our [website](#).



For [standards questions](#)